



DEPARTMENT OF THE AIR FORCE  
WASHINGTON, DC

Office Of The Under Secretary

October 29, 2001

MEMORANDUM FOR SEE DISTRIBUTION

FROM: SAF/IAPX  
1080 Air Force Pentagon  
Washington, DC 20330-1080

SUBJECT: Designation of Military Department Cognizance of Commercial Airborne Early Warning and Control (AEW&C) Programs (**SAF/IAPX 01028**) (Designation of Military Department Cognizance of Commercial Airborne Early Warning and Control (AEW&C) Programs (DSCA 01-14))

This memorandum supercedes SAF/IAPX policy memo IAX-00008, 28 Mar 00, (which implemented DSCA policy memo 00-05, 15 Mar 00) subject same as above.

DOD policy requires the radar and mission systems for E-3 and E-767 AWACS, E-2C and C-130J using the E-2C mission system be sold via FMS. This policy ensures interoperability, compatibility, supportability, releasability, fleetwide commonality for future upgrades and compliance with technology transfer policy issues. There is a concern that commercial AEW&C systems are being offered to US allies for military use through direct commercial sales under export licenses with little DOD insight into the final technology being transferred. Accordingly, DOD believes it is in the best interest of the USG to have more DOD involvement in direct commercial sales that include AEW&C systems.

The principal objective of this policy memo is to ensure that commercial Airborne Early Warning and Control (AEW&C) systems (MESA Radar Variants and ELTA/PHALCON Radar variants - with US industry integration) are interoperable and compatible with U.S. systems, and compliant with technology transfer provisions.

To ensure successful implementation of DOD's policy the U.S. Air Force is assigned cognizance for the following current commercial AEW&C systems: MESA Radar variants and ELTA/PHALCON Radar variants (with U.S. industry integration).

Effective immediately the USAF has the authority to insist upon the establishment of a cooperative program or an FMS case when necessary to ensure the requisite interoperability, compatibility, and compliance of Direct Commercial Sales commercial AEW&C systems.

SAF/IAPX POC is Ms. Sandy Harding, (703) 588-8974, e-mail: [sandy.harding@pentagon.af.mil](mailto:sandy.harding@pentagon.af.mil), SAF/IARW POC is Maj John Harris, (703) 588-8222, email: [john.harris@pentagon.af.mil](mailto:john.harris@pentagon.af.mil), and SAF/IAPD POC is Ms. Roxci Tigney, (703) 588-8852, email: [marylana.tigney@pentagon.af.mil](mailto:marylana.tigney@pentagon.af.mil).

//signed//

ERICH G. ESCHENBURG, Lt Col, USAF  
Acting Chief, Security Assistance Policy Division  
Deputy Under Secretary, Int'l Affairs

Attachments:

1. DSCA Policy 01-14
2. Distribution List



DEFENSE SECURITY COOPERATION AGENCY

WASHINGTON, DC 20301-2800

05 SEP 2001

In reply refer to:  
I-01/006468

MEMORANDUM FOR DEPUTY UNDER SECRETARY OF THE AIR FORCE  
(INTERNATIONAL AFFAIRS)  
DEPARTMENT OF THE AIR FORCE

DIRECTOR, NAVY INTERNATIONAL PROGRAMS OFFICE  
DEPARTMENT OF THE NAVY

SUBJECT: Designation of Military Department Cognizance of Commercial Airborne Early Warning and Control (AEW&C) Programs (DSCA 01-14)

This memorandum supersedes DSCA policy 00-05 (dated 15 Mar 2000). Since that time additional commercial AEW&C derivatives (both airframe and radar) have been proposed.

On 18 October 1999, the Deputy Secretary of Defense signed the commercial AEW&C policy memorandum, attached. The purpose of this policy is to ensure that commercial AEW&C systems are interoperable and compatible with U.S. systems, and compliant with technology transfer provisions. The policy delegates a cognizant military department the authority to insist upon a cooperative program or an FMS case when necessary to ensure the requisite interoperability, compatibility, and compliance of DCS commercial AEW&C systems.

This DSCA memo implements the DEPSECDEF commercial AEW&C policy by assigning cognizance for each of the current commercial AEW&C systems to the military department as follows:

U.S. Air Force cognizance:

MESA Radar variants

ELTA/PHALCON Radar variants (with U.S. industry integration)

U.S. Navy cognizance:

AURA Radar variants

DSCA POC is CAPT Pete Cornell, (703) 604-6603, peter.cornell@osd.pentagon.mil.

TOME H. WALTERS, JR.  
LIEUTENANT GENERAL, USAF  
DIRECTOR

Attachment:  
As stated



## DEPUTY SECRETARY OF DEFENSE

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18 OCT 1999

MEMORANDUM FOR SECRETARIES OF THE MILITARY DEPARTMENTS  
UNDER SECRETARY OF DEFENSE FOR ACQUISITION AND  
TECHNOLOGY  
UNDER SECRETARY OF DEFENSE FOR POLICY  
DIRECTOR, DEFENSE SECURITY COOPERATION AGENCY  
DIRECTOR, DEFENSE THREAT REDUCTION AGENCY

SUBJECT: Commercial Airborne Early Warning and Control (AEW&amp;C) Policy

Current policy requires the radar and mission systems for E-3 and E-767 AWACS, E-2C, and C-130J using the E-2C mission system be sold via FMS. The purpose of this policy is to ensure interoperability, compatibility, supportability, releasability, fleetwide commonality for future upgrades, and compliance with technology transfer policy issues. However, commercial AEW&C systems are being offered to U.S. allies for military use through direct commercial sales under export licenses with little DoD insight into the final technology being transferred and no assurance that the delivered systems will be interoperable/compatible with DoD systems in coalition operations. It is in the best interest of the Government to have more DoD involvement in direct commercial sales that include AEW&C systems.

Effective immediately, for direct commercial sales that include AEW&C systems, the cognizant military department shall insist upon establishment of a government-to-government relationship, either via a cooperative program or an FMS case, when necessary to ensure interoperability and compatibility with U.S. systems and compliance with technology transfer limitations. This requirement will be incorporated in appropriate munitions case provisos that will be forwarded to the Department of State for inclusion in export licenses for direct commercial sales that include these systems.

  
John J. Hamre

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